Help Shape the Future of WEST

Pilot Proposals for WEST Expansion of Services

Western Regional Storage Trust

Informational Packet
Please review this packet before attending the WEST town halls. If you have any questions, please contact Alison Wohlers, WEST Program Manager, at alison.wohlers@ucop.edu.

Background

What

In 2020, the [Non-journal Formats Working Group](#) was charged by the Executive Committee to take up the question of expanding WEST's scope to include non-journal formats (analog or digital) and/or to collaborate with non-journal shared print programs.

Why

WEST program assessment indicates that:
- There is ongoing interest among members to add new services and value for WEST members, especially around digitization.
- Because WEST has made considerable progress in archiving in-scope print serial and journal titles, there is an opportunity to build on the shared collection and trust network by strategically expanding the program's scope to return new value for members.

How

The Non-journal Formats Working group:
- Completed an environmental scan to surface areas of potential expansion and assessed these areas using an impact/readiness matrix.[1]
- Consulted with the Operations and Collections Council (OCC) and received guidance from the Executive Committee on the outcomes of the environmental scan, which led the group to develop pilot proposals in three areas:
  - Digitization;
  - Government Documents (with a focus on publications other than federal documents); and
  - Prospective Shared Print (i.e. collaborative collection development and print retention).

What Now

The Working Group has developed five pilot proposals and seeks member input as an important component of drafting final recommendations to the Executive Committee.

Jump to a pilot proposal -
1. [Digitization of High-risk Titles](#)
2. [Coordinate the Donation of Duplicate Copies to Internet Archive](#)
3. [Prospective University Press and Regional Press Collections (Monographs)](#)
4. [Print-only Journals for Prospective Retention](#)
5. [Environmental Scan and Holdings Assessment for International Government Publications](#)

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[1] Areas of expansion explored in the environmental scan, but not prioritized for refinement: textbook collection (for either historical or instructional purposes); A/V materials; multi-part monographic series; microform; indexes and reference works.

Pilot Proposals Overview

Digitization

The two digitization pilot proposals are not mutually exclusive, although they introduce digitization into WEST's scope in very different ways. The first pilot proposal is based on building digitization capacity within the WEST program and among its members, which will give the collaboration more control over the process, but that comes with an associated cost. The second pilot proposal will build a very different kind of capacity in WEST - a facilitative capacity to collectively maximize deselections across the collaboration by routing deselected titles for digitization by the Internet Archive. This latter approach will be lower cost, but WEST's control of the digitization process will be limited.

Pilot Proposal to Digitize High-Risk, Print-Only WEST Titles

What: A narrowly scoped pilot project to test WEST's ability to incorporate a digitize for preservation workflow.

Why: Complementing the print with a digital copy supports WEST's preservation and access objectives. WEST is well-positioned to identify print-only titles. (initial model: preservation first, access later)

How:
- The WEST Program and OCC identify candidate print-only titles already retained by WEST Builders and affirm a set of standards for digitization.
- Willing Builders with local digitization capacity and infrastructure complete digitization work (or partner with an external vendor) supported by a WEST subsidy.
- Digital copies are stored locally or in temporary storage arranged by WEST.
- Following the pilot: assessment for permanent storage and providing access.

Pilot Proposal to Coordinate the Donation of Duplicate Copies to Internet Archive

What: A coordinated effort to send deselected, duplicate serial runs (of titles archived in WEST) from member libraries to the Internet Archive to be digitized and made available through their Open Library.

Why: Maximizes deselections, enhances preservation assurance, and increases opportunities for discovery and access. WEST has the infrastructure to identify titles and coordinate this work.

How:
- Use AGUA to identify duplicate copies of WEST archived titles that could be donated to the Internet Archive.
- Prepare and distribute pick lists to members for voluntary donation.
- Facilitate donations from member libraries to the Internet Archive.

Prospective Shared Print

What are we trying to accomplish with the two prospective shared print pilot projects in WEST?

- WEST will aim to foster a culture of committing to retain content at the time of acquisition, rather than exclusively retrospectively.
- Additionally, WEST will aim to begin outlining a framework to share the ongoing responsibility of collecting in certain areas.
- At least in its early stages, a WEST prospective shared print project will *not* be expected to result in significant space reallocation opportunities, *nor* will the work be meant to challenge publishers or significantly impact the publishing economy.

Pilot Proposal for University Press and Regional Press Collection (Monographs)

What: Receive and track commitments from WEST member libraries to acquire and retain the output of their University Presses and/or select regional presses.

Why: Initiating a low-stakes program for WEST members to cultivate a culture of committing to retain content at time of acquisition will help lay the groundwork for more extensive collaborative collection development efforts in the future.

How:
- WEST coordinates and publishes a list of proposed presses for retention.
- Member libraries identify which press(es) they will commit to retain proactively.
- Commitments will be recorded in an amendment to the WEST member agreement, in the records, and in agreed-upon registries.

Pilot Proposal for WEST Print-only Journals for Prospective Retention

What: Establish prospective acquisition and retention agreements for journals that continue to be published in print only.

Why: WEST considers active journals available only in print as a particular area of risk. WEST also considers ongoing print subscriptions to be some indication of the value of the content.

How:
- WEST will identify in-scope holdings and coordinate proposals for prospective commitments.
- Willing Builders will commit to continue to retain upon acquisition and accession, on behalf of WEST, proposed journal families.
- Participating Builders will acquire the content of their committed journals, record retention notes in the records upon accession, and disclose those retentions in agreed-upon registries.

Retrospective Print Content

With the breadth of participation in WEST, the program and its members are well-positioned to take on a leadership role to create strategic and expertise-driven action plans that have an impact on collections that are in need of coordinated assessment and investment.

Pilot Proposal for International Government Publication Collections (Holdings Assessment and Environmental Scan)

What: Closely scoped print holdings assessment and environmental scan project, leading to a set of best practices for scoping additional potential government publication projects.

Why: International government publications are one category of collections where there is an ongoing need for deep and broad holdings to support current and future research. This is a low-resource, quick-start effort with the potential to produce a leadership "win" through enhancing access to resources that are already held in member collections.

How:
- A project team will conduct a lightweight environmental scan of target collections, including current access conditions and likelihood of inclusion in existing retention programs.
- Based on initial findings, WEST will conduct or facilitate a holdings analysis for target collections and incorporate into environmental scan.
- The project team will propose best practices for supporting long-term access to target collections based on infrastructure already in place, and recommend next steps for improving broad access to these collections.

Framing Questions for Discussion at the Town Hall

1. **Which impact, in the context of WEST, is most important to you and why:**
   a. Innovation - lead the way to build collaborative solutions to preserve print content that has yet to be addressed in a coordinated manner.
   b. Enhanced preservation of existing WEST collections - ensure a digital surrogate exists for WEST print-only titles.
   c. Expansion of the culture of collaboration - build trust and infrastructure to commit to retain upon acquisition (i.e. prospective shared print, collaborative collection development) or commit to retain print resources outside of serials and journals.
   d. Increasing the ready availability of WEST collections - prioritize a digitization approach that leads most immediately to new access opportunities.
2. **Can you tell us about how WEST services support you?**
   a. What else could WEST do to support your library through collaborative action for preservation of and access to print resources?
3. **What challenges, if any, does your library face in engaging in collaborative collection development or coordinated collecting with other libraries?**
4. **What challenges, if any, does your library face in undertaking digitization projects?**
5. **Would it be valuable to your library for WEST to facilitate collaborations to preserve print content previously unaddressed by coordinated efforts?**

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1. Name *

2. Email *

3. Institution *

4. Role *

5. Please select one of the following - *

- ○ I attended a WEST town hall
- ○ I reviewed a WEST town hall recording
- ○ Both
- ○ Neither

(untitled)

6. I consider the digitization of WEST print-only titles pilot proposal to be - *

- ○ Very important
- ○ Important
- ○ Somewhat important
- ○ Not important
- ○ I do not have a strong opinion

7. Please tell us why you consider the WEST print-only title digitization pilot proposal to be important. Why is it important to you institution? What is its importance for WEST as a program?

8. Please tell us why you do not consider this proposal to be important.

9. Could your library be an active participant in the WEST print-only title digitization pilot? *

- ○ Yes
- ○ No
- ○ Maybe

10. I consider the WEST University and regional press prospective shared print pilot proposal to be - *

- ○ Very important
- ○ Important
- ○ Somewhat important
- ○ Not important
- ○ I do not have a strong opinion

11. Please tell us why you consider the WEST University and regional press prospective shared print pilot proposal to be important. Why is it important to you institution? What is its importance for WEST as a program?

12. Please tell us why you do not consider this proposal to be important.

13. Could your library be an active participant in the WEST University and regional press prospective shared print pilot? *

- ○ Yes

- ○ No

- ○ Maybe

14. Would you be willing to participant in a focus group to delve deeper into the University and regional press pilot proposal? *

- ○ Yes

- ○ No

15. Please indicate which dates and times work with your schedule (or the schedule of the appropriate institutional representative): *

- ☐ Friday, April 15, 1-2pm PT

- ☐ Tuesday, April 19, 11am-12pm PT

- ☐ Friday, April 22, 2-3pm PT

- ☐ Tuesday, April 26, 9-10am PT

- ☐ Not sure / none of these

16. I consider the WEST pilot proposal to coordinate the donation of duplicate copies to Internet Archive to be - *

- ○ Very important

- ○ Important

- ○ Somewhat important

- ○ Not important

- ○ I do not have a strong opinion

17. Please tell us why you consider the WEST pilot proposal to coordinate the donation of duplicate copies to Internet Archive to be important. Why is it important to you institution? What is its importance for WEST as a program?

18. Please tell us why you do not consider this proposal to be important.

19. Could your library be an active participant in the WEST pilot project to coordinate the donation of duplicate copies to Internet Archive? *

    ○ Yes

    ○ No

    ○ Maybe

20. I consider the WEST print-only journals for prospective retention pilot proposal to be - *

    ○ Very important

    ○ Important

    ○ Somewhat important

    ○ Not important

    ○ I do not have a strong opinion

21. Please tell us why you consider the WEST print-only journals for prospective retention pilot proposal to be important. Why is it important to you institution? What is its importance for WEST as a program?

22. Please tell us why you do not consider this proposal to be important.

23. Could your library be an active participant in the WEST print-only journals for prospective retention pilot project? *

- ⊙ Yes

- ⊙ No

- ⊙ Maybe

24. I consider the WEST international government publication pilot proposal to be - *

- ⊙ Very important

- ⊙ Important

- ⊙ Somewhat important

- ⊙ Not important

- ⊙ I do not have a strong opinion

25. Please tell us why you consider the WEST international government publication pilot proposal to be important. Why is it important to you institution? What is its importance for WEST as a program?

26. Please tell us why you do not consider this proposal to be important.

27. Could your library be an active participant in the WEST international government publication pilot project? *

     ○ Yes

     ○ No

     ○ Maybe

28. Would you be willing to participate in a focus group to delve deeper into the international government publication pilot proposal? *

     ○ Yes

     ○ No

29. Please indicate which dates and times work with your schedule (or the schedule of the appropriate institutional representative): *

     ☐ Friday, April 15, 2-3pm PT

     ☐ Tuesday, April 19, 9-10am PT

     ☐ Wednesday, April 20, 11-12pm PT

     ☐ Wednesday, April 27, 1-2pm PT

     ☐ Not sure / none of these

30. Would you like to participate in a focus group to delve deeper into one or more of the pilot proposals? *

     ○ Yes

     ○ No

31. Please indicate which dates and times work with your schedule (or the schedule of the appropriate institutional representative): *

- ☐ Thursday, April 14, 10-11am PT

- ☐ Monday, April 18, 9-10am PT

- ☐ Wednesday, April 20, 2-3pm PT

- ☐ Thursday, April 28, 10-11am PT

- ☐ Not sure / none of these

32. Do you have other questions or comments for us?