WEST Program Refresher & Archiving Info Session

January 19, 2022
10-11am PT
Agenda

WEST Program Refresher (First 30 minutes)
- History
- Vision, Mission, & Principles
- Key Features
- Membership & Roles
- Governance
- Collaborations

WEST Archiving Info Session (Second 30 minutes)
- Archive Types
- Archiving Progress
- Analysis & Archiving Timeline
- Cycles 12 & 13
- Focus On Scarce/Unique
- Archive for WEST!

Info and Communications
Upcoming events
WEST Program Refresher
<table>
<thead>
<tr>
<th>Year</th>
<th>Phase</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009-2010</td>
<td>Planning Phase</td>
<td>Outlined business model, validation standards, and disclosure plan</td>
</tr>
<tr>
<td>2011-2013</td>
<td>Phase 1 - Implementation</td>
<td>Established shared print collections at multiple libraries and storage facilities</td>
</tr>
<tr>
<td>2014-2016</td>
<td>Phase 2 - Expansion</td>
<td>Extended the work begun in Phase 1</td>
</tr>
<tr>
<td>2016-2018</td>
<td>Transition to sustainability</td>
<td>Became fully member sustained; co-founded national collaboration (Rosemont)</td>
</tr>
<tr>
<td>2019-present</td>
<td>New Directions &amp; Opportunities</td>
<td>Refining, enhancing, expanding opportunities and value</td>
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Vision, Mission, & Guiding Principles

**Vision:** Reliable, innovative, and lasting preservation to ensure ready availability of the print scholarly record through a distributed network of committed and trusted partners sharing responsibility, stewardship, and decision-making.

**Mission:** To collaboratively develop, manage, and coordinate the identification, retention, and registration of a shared, distributed collection of print content across libraries, thus enabling discovery, access, and informed decision-making by member institutions.

**Guiding Principles**

- Shared Responsibility and Stewardship
- Inclusion and Diversity
- Collaboration
- Trust and Transparency
- Equity
- Sustainability and Resilience
Key Features of WEST

❖ Biennial collection analysis, titles prioritized by risk categories
❖ Distributed journal archives held in storage facilities and libraries
❖ Access for all WEST members
❖ Analysis tools for member collection comparison and decision making
❖ In-house development resources to meet member needs
❖ Regular program assessment
❖ Collaboration with the wider community to develop policies, practices, and technology that support the responsible management of our print collections at the national scale
Membership

65 institutions west of the Mississippi
• 6 Archive Builders
• 34 Archive Holders
• 25 Non-Archive Holders
  o 6 Past members who remain Archive Holders
WEST Working Groups

Disclosure & Validation Standards

**Charge:** Review and revise as necessary the WEST Disclosure Policy and Validation Standards.

Non-journal Formats

**Charge:** Take up the question of expanding WEST’s scope to include non-journal formats (analog or digital) and/or to collaborate with non-journal shared print programs.

Resource Sharing

**Charge:** Review and revise, as necessary, the WEST borrowing and lending documentation and lending statistics reporting practices.
WEST and Rosemont

The Vision
To ensure the preservation and availability of print journal literature.

Member Programs

BTAA  CRL  EAST
FLARE  WEST
Scholars Trust (ASERL & WRLC)
Collection Growth

Contributions & Benefits
(November 2021)

<table>
<thead>
<tr>
<th>Organization</th>
<th>Distinct Titles Contributed</th>
<th>Newly Accessible Commitments</th>
</tr>
</thead>
<tbody>
<tr>
<td>BTAA</td>
<td>10,617</td>
<td>188,448</td>
</tr>
<tr>
<td>CRL</td>
<td>51,799</td>
<td>147,266</td>
</tr>
<tr>
<td>EAST</td>
<td>18,163</td>
<td>180,902</td>
</tr>
<tr>
<td>FLARE</td>
<td>44,626</td>
<td>154,439</td>
</tr>
<tr>
<td>Scholars Trust (ASERL/WRLC)</td>
<td>20,584</td>
<td>178,481</td>
</tr>
<tr>
<td>WEST</td>
<td>31,243</td>
<td>167,822</td>
</tr>
</tbody>
</table>

Legend:
- Blue: Distinct Titles Contributed
- Orange: Newly Accessible Commitments
Areas of Focus for 2022

❖ Potential coordination with storage partners
❖ Guidelines for gap-filling across programs
❖ Cross-program resource sharing
❖ Last Known Copy Initiative
❖ Collaboration with other national partner organizations
Beyond Rosemont

Connections to monograph shared print programs

Advancing shared print’s transition to a new phase of integration across the collections lifecycle
Questions?
WEST Archiving Info Session
## WEST Archive Types

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Bronze</th>
<th>Silver</th>
<th>Gold</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk profile of title</td>
<td>Low risk</td>
<td>Moderate risk</td>
<td>Higher risk</td>
</tr>
<tr>
<td>Physical holdings verified, summary holdings updated</td>
<td>Not required</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Validation standards</td>
<td>None required</td>
<td>Volume-level Completeness only</td>
<td>Issue-level Completeness and Condition</td>
</tr>
<tr>
<td>Gap filling required?</td>
<td>No (at holder’s discretion)</td>
<td>Yes (active)</td>
<td>Yes (active)</td>
</tr>
<tr>
<td>Storage</td>
<td>Open stacks or storage</td>
<td>Secure storage facility</td>
<td>Secure storage facility</td>
</tr>
<tr>
<td>Disclosure</td>
<td>Batch loaded, LHRs w/ 583 fields</td>
<td>LHRs w/ 583 fields, + outcomes of validation</td>
<td>LHRs w/ 583 fields, + outcomes of validation</td>
</tr>
</tbody>
</table>

**Archive Type = Level of Archiving Effort**
### WEST Archived Holdings

<table>
<thead>
<tr>
<th>WEST Archived Holdings</th>
<th></th>
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<tbody>
<tr>
<td>WEST Bronze</td>
<td>17,011</td>
</tr>
<tr>
<td>WEST Silver</td>
<td>8,988</td>
</tr>
<tr>
<td>WEST Gold</td>
<td>9,061</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>35,060</strong></td>
</tr>
</tbody>
</table>

**WEST Archives**

January 2022

- WEST Bronze: 48%
- WEST Silver: 26%
- WEST Gold: 26%
WEST Collections Analysis & Archiving Timeline

Cycles 12 & 13 Steps:

❖ Unarchived holdings submission - complete!
❖ Holdings location review - in progress
❖ Collections analysis - Spring-Summer 2022
  ➢ Automated quantitative analysis by AGUA enhanced by qualitative analysis and rebalancing results
❖ Archiving proposals review and commitment - Fall 2022
❖ Cycle 12 archiving begins - Fall 2022
Cycles 12 & 13

Previous Cycles
❖ Participation limited to Full Members
❖ Focus on widely-held titles
❖ Archiving proposals limited to Archive Holders/Builders

Cycles 12 & 13
❖ Participation open to ALL members
❖ Focus on scarcely-held and unique titles
❖ Archiving proposals open to ALL members (does not commit you to archiving!)
Why scarce and unique materials?

❖ Success capturing widely-held titles = steady drop in average duplication across membership
❖ System enhancements that support new insights into WEST member collections and comparison against other groups
❖ Rosemont Last Known Copy Initiative Pilot Project
❖ Protecting the full print journal record, not just the popular print journal record
Join Us!

**How**

- Designate locations eligible for Archiving Proposal
- Review archiving proposals and make commitment selections
  - If a first-time Holder, sign Archive Holder Amendment to Member Agreement

**What**

- Update records with commitment information
- Disclose commitments to WEST and other outlets
- Hold materials for WEST through 2035
- Provide access to WEST and Rosemont members
## Holdings Location Review

### AGUA dashboard:

- **Functions**
  - Upload Bibliographic and Holdings Data
  - Set Storage Location and Exclusion Flags

### Holdings review page:

<table>
<thead>
<tr>
<th>Holdings Location Code</th>
<th>OCLC SP Symbol</th>
<th>Storage Location</th>
<th>Overlap Analysis Exclusion</th>
<th>Holder Proposal Exclusion</th>
<th>Builder Proposal Exclusion</th>
</tr>
</thead>
<tbody>
<tr>
<td>law-azref</td>
<td>AZC</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>law-core</td>
<td>AZC</td>
<td></td>
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<tr>
<td>law-eth</td>
<td>AZC</td>
<td></td>
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<tr>
<td>law-hirsch</td>
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<tr>
<td>law-indian</td>
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<tr>
<td>law-media</td>
<td>AZC</td>
<td></td>
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*Institution: Arizona State University, Status: IN_PROGRESS*
In Summation…

❖ **What**: Prioritizing scarce and uniquely held materials
  ➢ Analyzing relative scarcity in WEST, Rosemont, and OCLC

❖ **Who**: All WEST members are invited to participate as Archive Holders

❖ **How**:
  ➢ **Now**: Location selection in AGUA
  ➢ **Later**: Accept archiving proposals & sign Archive Holder Amendment
Questions?
Information and Communication

❖ Resources for Archivers: https://cdlib.org/west/west-archives/resources-for-archivers/
❖ WEST feedback form: https://cdlib.org/west/contact-west/
❖ WEST Blog: https://cdlib.org/cdlinfo/category/collections/west/
❖ WEST listservs:
  ➢ WEST-Archivers-L
  ➢ WESTInfo-L (WEST newsletter)
❖ WEST project team: west-staff-l@listserv.ucop.edu

For list descriptions and instructions on joining: https://cdlib.org/west/mailing-lists/
Upcoming shared print events

❖ Print Archive Network (PAN) Forum
  ➢ Friday Jan 21, 9-11am PT / 11am-1pm CT

❖ CCH post-summit webinar
  ➢ Monday Jan 24, 8-9am PT / 10-11am CT

❖ Post-PAN watercooler
  ➢ Monday Jan 24, 12-1pm PT / 2-3pm CT

❖ Joint Rosemont & Partnership meeting
  ➢ Tuesday Feb 8, 9am-12pm PT / 11am-2pm CT
Thank you!
Please stay in touch with your questions and feedback.

Alison Wohlers, WEST Program Manager
alison.wohlers@ucop.edu

Anna Striker, WEST Operations and Collections Analyst
anna.striker@ucop.edu

https://cdlib.org/west/