WEST Members’ Meeting

January 28, 2021
9:30-11:00am PT
Chair of the WEST Executive Committee - Dena Hutto, Norman F. Carrigg College Librarian, Reed College

WELCOME AND OPENING REMARKS
Meeting Agenda

I. Welcome and Opening Remarks
II. Program Refresher and Updates
III. AGUA Development
   Discussion: Discovery and the WEST Collection
IV. External Partnerships and Collaborations
PROGRAM REFRESHER AND UPDATES
1. Is this your first time attending a WEST members' meeting?

   - Yes (20) 34%
   - No (38) 66%
   - Not sure (0) 0%

2. My institution participates in WEST as:

   - An Archive Holder (shared print in place) (22) 38%
   - An Archive Builder (shared print in storage) (13) 22%
   - A Non-archive Holder (supports filling gaps, but does not archive locally) (13) 22%
   - Not sure (6) 10%
   - N/A; I am from another organization (4) 7%
WEST Refresher - What we do

Collaborate across the western regional United States to build a shared, retrospective print collection of journals.

Our objectives:

→ Preserve the scholarly print record through distributed retention commitments and targeted consolidation of journal holdings
→ Provide access to retained materials
→ Create opportunities to reallocate library space
WEST Refresher - How we do it

- **Analyze** our collective serial holdings using WEST’s custom-built decision support tool, AGUA, to identity titles for retention

- **Target our effort and resources** based on risk for loss of content

- Explicitly **record** the retention in the local metadata for the titles; **disclose** what has been retained internally to WEST members and through public registries

- Provide tools for **local decision-making and comparison** against WEST-retained titles

- **Collaborate** with the wider community to develop policies, practices, and technology that support the responsible management of our collective collection
Who we are - Membership update

65 institutions west of the Mississippi
- 7 Archive Builders
- 32 Archive Holders
- 26 Non-Archive Holders
  - 5 Past members who remain Archive Holders
### Executive Committee

<table>
<thead>
<tr>
<th>Name</th>
<th>Institution</th>
<th>Representing</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dena Hutto (2021 Chair)</td>
<td>Reed College</td>
<td>Non-Archive Holders</td>
</tr>
<tr>
<td>Melissa Desantis (2021 Vice Chair)</td>
<td>University of Colorado Anschutz Medical Campus</td>
<td>Archive Holders</td>
</tr>
<tr>
<td>Mark Roosa</td>
<td>Pepperdine University</td>
<td>Non-Archive Holders</td>
</tr>
<tr>
<td>John Wenzler</td>
<td>CSU East Bay</td>
<td>Non-Archive Holders</td>
</tr>
<tr>
<td>Virginia (Ginny) Steel</td>
<td>UC Los Angeles</td>
<td>Archive Builders</td>
</tr>
<tr>
<td>Michael Levine Clark</td>
<td>University of Denver</td>
<td>Archive Builders</td>
</tr>
<tr>
<td>Denise Stephens</td>
<td>Washington University in St. Louis</td>
<td>Archive Holders</td>
</tr>
<tr>
<td>Kathleen Salomon</td>
<td>Getty Research Institute</td>
<td>Archive Holders</td>
</tr>
<tr>
<td>Ivy Anderson</td>
<td>CDL Administrative Host</td>
<td>ex officio</td>
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</tbody>
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### Operations and Collections Council

<table>
<thead>
<tr>
<th>Name</th>
<th>Institution</th>
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<tbody>
<tr>
<td>Sion Romaine</td>
<td>University of Washington</td>
</tr>
<tr>
<td>Jack Maness</td>
<td>University of Denver</td>
</tr>
<tr>
<td>Laura Turner</td>
<td>University of San Diego</td>
</tr>
<tr>
<td>Molly Strothmann</td>
<td>Oklahoma State University</td>
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<tr>
<td>Jill Emery</td>
<td>Portland State University</td>
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<tr>
<td>Shari Laster</td>
<td>Arizona State University</td>
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<tr>
<td>Mary Grenci</td>
<td>University of Oregon</td>
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<tr>
<td>Nancy Lorimer</td>
<td>Stanford University</td>
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<tr>
<td>Cathy Martyniak</td>
<td>SRLF</td>
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<tr>
<td>Kerry Scott</td>
<td>UC Santa Cruz</td>
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<tr>
<td>Kerri Goergen-Doll</td>
<td>Oregon State University</td>
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Archiving Progress

Cycle 9 Archiving

FY2019/2020
Disclosed Spring 2020

Total Unique Titles:
Bronze: 281
Silver: 202
Gold: 871

Cycle 10 Archiving in Progress

Total Archiving

Total Unique Titles:
Bronze: 14,373
Silver: 7,837
Gold: 8,116
Total: 30,327 titles
Cycles 10 & 11 Archiving Commitments

- Archiving proposals distributed, reviewed, and commitments selected in Fall 2020

<table>
<thead>
<tr>
<th>Archive Type</th>
<th># Archivers</th>
<th>Journal Families</th>
<th>Titles</th>
<th>Est. Volumes to Archive</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bronze</td>
<td>26</td>
<td>825</td>
<td>1,223</td>
<td>27,802</td>
</tr>
<tr>
<td>Silver</td>
<td>6</td>
<td>711</td>
<td>1,226</td>
<td>23,508</td>
</tr>
<tr>
<td>Gold</td>
<td>5</td>
<td>1,234</td>
<td>2,160</td>
<td>39,277</td>
</tr>
<tr>
<td>Total</td>
<td>26 (deduped)</td>
<td>2,770</td>
<td>4,609</td>
<td>90,587</td>
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Website Updates

WEST website
- About WEST: https://cdlib.org/west/about-west/
- WEST Archives: https://cdlib.org/west/west-archives/
  - Resources for Archivers: https://cdlib.org/west/west-archives/resources-for-archivers/

AGUA interface
- https://agua.cdlib.org/west/login/dashboard
Welcome to AGUA. This system allows authorized users to perform various functions to support WEST journal archiving and collection management activities.

<table>
<thead>
<tr>
<th>WEST LIBRARY FUNCTIONS</th>
<th>USER ADMINISTRATION FUNCTIONS</th>
<th>WEST STAFF FUNCTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bibliographic and Holdings Data Ingest</td>
<td>Update Your User Record</td>
<td></td>
</tr>
<tr>
<td>- Upload Your File</td>
<td>Update Institution Contact(s)</td>
<td></td>
</tr>
<tr>
<td>- Upload file from your desktop</td>
<td>Institution Contact Roster</td>
<td></td>
</tr>
<tr>
<td>- Upload file from remote server</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Set Storage Location and Exclusion Flags</td>
<td></td>
<td></td>
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<tr>
<td>WEST Member Reports</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Run On Demand Comparison Report</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Download Collection Comparison Reports</td>
<td></td>
<td></td>
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<tr>
<td>- Download Archiving Commitment Reports</td>
<td></td>
<td></td>
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<tr>
<td>- Download Not-Committed Reports</td>
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<tr>
<td>- Download Summary by Institution Reports</td>
<td></td>
<td></td>
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<tr>
<td>- Download Summary by Category Reports</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Download All Titles Archived by WEST Report</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Archiving Commitments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Review Proposed Journals</td>
<td></td>
<td></td>
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<tr>
<td>Needs And Offers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Download JRNL Export Files</td>
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</tbody>
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Welcome to AGUA

WEST Updates

Welcome to AGUA! AGUA is a web-based analysis and decision support system that facilitates shared print collaborative activities and local collection management. If you’ve visited AGUA before, you’ll notice we’ve had a bit of a refresh to the interface, although the underlying functionality remains the same. We hope you enjoy the visual updates! Please send any questions or comments to WEST Support Team.

WEST Member Resources

<table>
<thead>
<tr>
<th>Functions</th>
<th>Reports and Downloads</th>
<th>Contacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Upload Bibliographic and Holdings Data</td>
<td>On Demand Comparison Report</td>
<td>Contact WEST Staff</td>
</tr>
<tr>
<td>• Upload Your File</td>
<td>Collection Comparison Reports</td>
<td>Institution Contact Roster</td>
</tr>
<tr>
<td>• Set Storage Location and Exclusion Flags</td>
<td>Archiving Commitment Reports</td>
<td>Update Your Institution Contact(s)</td>
</tr>
<tr>
<td>Set Archiving Commitments</td>
<td>Not-Committed Reports</td>
<td></td>
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<tr>
<td>• Review Proposed Journals</td>
<td>Summary by Institution Reports</td>
<td></td>
</tr>
<tr>
<td>• Review Budgets</td>
<td>Summary by Category Reports</td>
<td></td>
</tr>
<tr>
<td></td>
<td>All Titles Archived by WEST Report</td>
<td></td>
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<tr>
<td></td>
<td>JRNL Export Files</td>
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## WEST Working Groups - 2021

<table>
<thead>
<tr>
<th>Disclosures and Validation Working Group</th>
<th>Resource Sharing Working Group</th>
<th>Non-journal Formats Working Group</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Status - Convened</strong></td>
<td><strong>Status - Convened</strong></td>
<td><strong>Status - Convened</strong></td>
</tr>
<tr>
<td>Reviewing and drafting updates for the WEST Disclosure Policy</td>
<td>Reviewing and drafting updates for the WEST Access Guidelines</td>
<td>Drafting proposals for strategically expanding WEST’s scope</td>
</tr>
<tr>
<td><strong>Coming up</strong></td>
<td><strong>Coming up</strong></td>
<td><strong>Coming up</strong></td>
</tr>
<tr>
<td>Review and update standards for validation</td>
<td>Update lending and borrowing instructions &amp; Revise statistics-gathering template</td>
<td>WEST Executive Committee review &amp; member input</td>
</tr>
</tbody>
</table>
# WEST Working Groups Rosters

## Disclosure & Validation Standards

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anna Striker</td>
<td>WEST Program (convener)</td>
</tr>
<tr>
<td>Cathy Martyniak</td>
<td>UC SRLF</td>
</tr>
<tr>
<td>Corrie Hutchinson</td>
<td>University of Missouri</td>
</tr>
<tr>
<td>Debra Spidal</td>
<td>Washington State University</td>
</tr>
<tr>
<td>Tim Converse</td>
<td>UC NRLF</td>
</tr>
</tbody>
</table>

## Resource Sharing

<table>
<thead>
<tr>
<th>Name</th>
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</thead>
<tbody>
<tr>
<td>Alison Wohlers</td>
<td>WEST Program (convener)</td>
</tr>
<tr>
<td>Anna Striker</td>
<td>WEST Program</td>
</tr>
<tr>
<td>Debra Spidal</td>
<td>Washington State University</td>
</tr>
<tr>
<td>John de La Fontaine</td>
<td>Occidental College</td>
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<tr>
<td>Tim Converse</td>
<td>UC NRLF</td>
</tr>
</tbody>
</table>

## Non-journal Formats

<table>
<thead>
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<tbody>
<tr>
<td>Alison Wohlers</td>
<td>WEST Program (convener)</td>
</tr>
<tr>
<td>Cass Kvenild</td>
<td>University of Wyoming</td>
</tr>
<tr>
<td>John Wenzler</td>
<td>CSU East Bay</td>
</tr>
<tr>
<td>Kerry Scott</td>
<td>UC Santa Cruz</td>
</tr>
<tr>
<td>Michael Brewer</td>
<td>University of Arizona</td>
</tr>
<tr>
<td>Shari Laster</td>
<td>Arizona State University</td>
</tr>
<tr>
<td>Joel Thornton</td>
<td>University of Arkansas</td>
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New WEST Guidelines and Resources

WEST and the OCLC Shared Print Second Symbol

Report to OCC on WEST member attitudes and applications, with a recommendation for WEST action

Primary Recommendation: Moving forward, WEST libraries should disclose their commitments on their primary OCLC symbol. WEST should, with the assistance of OCLC, coordinate the migration of historical commitments associated with the second shared print symbols to primary institution symbols.


integral part of WEST operations and workflows. Beginning in July 2020, the working groups have met
QUESTIONS?
Updates and Discussion

AGUA DEVELOPMENT
AGUA Development

Background

- Regular development periods every other year to enhance existing features and add new ones
- Enhancement proposals developed by WEST project team, AGUA technical team, OCC, Archivers, and submitted by WEST membership
- Final enhancement list includes new features to support ongoing activities and to improve members’ ability to leverage the WEST collaboration locally, as well as improving backend processing and validation
AGUA Development

In progress

- Surfacing historically unanalyzed collections (completed)
- Local discovery of WEST-archived titles (in research)
- Revamping back-end database to improve matching abilities
- Enhanced metadata validation (collaboration with CRL)
- Call for holdings management (in research)

In queue

- Calculating depth of published run held
- Countdown of Builder budgets on Review Proposed Journals page
- Add unarchived holdings to On Demand Collection Comparison tool
- Support for voluntary archiving/title nominations
Discovery and the WEST Collection
1. I generally understand where I can go to look for titles that have been retained as part of the WEST shared print collection.

- Yes: 28 (47%)
- No: 11 (19%)
- Not sure: 20 (34%)

2. I would like to see more options and enhanced means of discovering titles retained on behalf of WEST.

- Yes: 35 (59%)
- No: 1 (2%)
- Not sure: 23 (39%)
Where and how can members discover WEST titles now?

- **WEST AGUA Reports** - log in to AGUA and download a full archived titles report or generate a comparison report using local holdings
- **Print Archives Preservation Registry (PAPR)** - public registry where libraries can search shared print titles across North America
- **OCLC tools** - FirstSearch, Connexion, Record Manager (though not in the public facing WorldCat.org)
What about local discovery?

What do we mean by local discovery? Make WEST collections visible in local systems.
What are the use cases for local discovery of WEST titles?

- **Collection managers**
  - More immediate comparison of local collections against the WEST collection

- **Librarians and library administrators**
  - Ability to demonstrate that locally deaccessioned titles remain available to faculty and students

- **End users (faculty, researchers, students)**
  - Streamlined discovery of available collections
1. I find the use cases to be compelling.

Yes (40) 75%

No (0) 0%

Not sure (13) 25%
What are some possible solutions for enhancing local discovery?

- Offer extracts of WEST records to load into local systems
- Develop a shared index of WEST records that members can display in local discovery layers
- Build a WEST union catalog
Discussion

- What are your discovery needs (or dreams) for shared print?

- Do any of the possible solutions resonate with you? Do any raise concerns?

Feel free to unmute, use the chat feature, or share your thoughts in the shared discussion document.

https://docs.google.com/document/d/1yKHdTjvx9dm1AvuaAGaKFcscVOUMhFT7QzSPXdgA4h4/edit?usp=sharing
QUESTIONS?
EXTERNAL PARTNERSHIPS & COLLABORATIONS
WEST and Rosemont

The Vision: To ensure the preservation and availability of print journal literature.

The Mission: To collaboratively develop, manage and coordinate the identification, retention, registration, discovery of and access to a shared, distributed collection of print journals in the United States.

Member Programs
- BTAA
- CRL (new!)
- EAST
- FLARE
- Scholars Trust (ASERL & WRLC)
- WEST
WEST and Rosemont

2020 Accomplishments

- Achieved goal of 100,000 new titles
- Ratified the Last Copy Agreement
- Last Known Copy Initiative
  - Initial analysis & identification of last known copies across the Alliance
  - Pilot project approved by Exec
- Expanded communication resources

What’s coming up in 2021

- Last Known Copy Initiative pilot implementation
- Develop common gap-filling practices
- Strategic planning for 2022 and beyond
  - Special focus on access and resource sharing

https://www.rosemontsharedprintalliance.org/
QUESTIONS?
CDL, CRL & HT Collaboration for Shared Print Infrastructure

- Vision & Mission
- First Quick Win
- What’s coming up in 2021
- Website
Vision

The future of print collections is shared. Embedding shared print within the lifecycle of library collections promotes equity of access, enriches the scholarly record and increases opportunities for research and teaching. A well-developed collaborative and interoperable infrastructure ensures we will realize the full potential of our networks and their collective collections.

Mission

The California Digital Library, the Center for Research Libraries, and HathiTrust are committed to shared print’s integration into the scholarly ecosystem by developing shared, interoperable infrastructure. We see this collaboration as an opportunity to shift the shared print paradigm so that the work is not tangential to the traditional collection, but fully integrated into the life-cycle of collections (from acquisitions to discovery and resource-sharing). The work we are facilitating will be guided by our principles and centered on our assumptions.
What does this mean for WEST members?

- **A new option for comparing against a larger, more varied data set**
- **Direct comparison to HathiTrust Digital Library serials & journals**
- **Connection to a larger network of shared print programs**

http://papr.crl.edu/tools/compare

- **Publisher:** The Society
- **Print ISSN:** 1037-2105
- **Electronic ISSN:**
- **OCLC Number:** 26025110
- **Subject:** Otorhinolaryngologic Diseases Periodicals
- **Subject code:**
- **Publication history:** Vol. 1, no. 1 (Jan. 1992)-

### Print Holdings

<table>
<thead>
<tr>
<th>Archiving Institution</th>
<th>Program</th>
<th>Holdings Description</th>
<th>Retention</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of California, SRLF Shared Print (ZASSP)</td>
<td>WEST, WEST Silver, UCL Shared Print</td>
<td>v.1:no.5-6(1994); v.2:no.1-3(1995/1996); v.4:no.2-3(2001); v.5 no.2(2002); v.6 no.2(2003); v.7 no.1-2(2004); v.8:no.1(2005)</td>
<td>December 31, 2035</td>
</tr>
</tbody>
</table>

### Digital Holdings

<table>
<thead>
<tr>
<th>Program</th>
<th>Holdings Description</th>
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<tbody>
<tr>
<td>HTDL</td>
<td>v. 1 no. 5-6 yr 1994; v. 2 no. 1-3 yr. 1995/1996; v. 4 no. 2-3 yr. 2001; v. 5 no. 2 yr. 2002; v. 6 no. 2 yr. 2003</td>
</tr>
</tbody>
</table>
What’s coming next?

- Continue development of tools and infrastructure
- Building community to expand capacity, exchange ideas, and connect infrastructure with best practices
- Bringing shared print programs and vendors together to start identifying needs to embed shared print into the lifecycle of collections
- Website: [https://www.cchcollab.org/](https://www.cchcollab.org/)
QUESTIONS?
Upcoming Shared Print Webinars

Registering Shared Print Commitments in OCLC
Friday February 12, 10 a.m. Pacific / 1 p.m. Eastern
Co-sponsored by the Partnership and Rosemont Alliance
Learn from your colleagues ideas for self-registering shared print retention commitments, including monographs, multipart monographs, and serials, using OCLC’s new shared print registration service. Sponsored by the Rosemont Shared Print Alliance and the Partnership for Shared Book Collections.
Register: https://us02web.zoom.us/webinar/register/WN_LgKHZAW1QM6X2VPgsxNuvQ

Working with Shared Print Commitments in OCLC
Friday February 19, 10 a.m. Pacific / 1 p.m. Eastern
Co-sponsored by the Partnership and Rosemont Alliance
Join us for a walk-through of working with your registered Shared Print commitments in OCLC services, including maintenance and discovery. Sponsored by the Rosemont Alliance and the Partnership.
Register: https://us02web.zoom.us/webinar/register/WN_r33_H_uNTZ-nCR7QONDftw

Best Practices Webinar
Thursday, May 6, Noon Pacific / 3 p.m. Eastern
Sponsored by the Partnership
Registration details forthcoming
Next Member Meeting - Summer 2021

Please reach out if there are topics or activities (e.g. panel discussions) that you would like to see on the agenda.
Thank you!

Please stay in touch with your questions and feedback.

https://cdlib.org/west/

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Anna Striker
WEST Shared Print Operations and Collections Analyst
anna.striker@ucop.edu