UC Shared Print Strategy and Operations Teams

Joint Status Report

Quarterly Report (January – March, 2014)

The UC Shared Print Strategy and Operations Teams were formed in December 2013 and began meeting in January on a monthly basis.

The following activities have been undertaken:

- **Shared Print Strategy and Operations Teams start up.** The first meeting of each team included a review of the Shared Print Strategic Plan 2013-2018, team membership, roles and responsibilities. The teams reviewed reporting structures and communications. The teams agreed to share agendas and meeting notes. The teams share a wiki space. Emily Stambaugh serves as the Chair of the Strategy Team. Colleen Carlton agreed to serve as the Chair of the Operations team for 2014.
- **Roadmap 2014-2018 development.** The Strategy and Operations Teams are prioritizing the list of projects, policies and infrastructure that accompanied the Shared Print Strategic Plan. The goal is to form an overall roadmap of work for the next few years and to focus on a few key projects. The Strategy Team has narrowed the list of priority projects and is currently working on success indicators and timeline for planning and development through 2018. Once completed, a draft roadmap with recommended priorities, success indicators and timeline will be presented to SAG3 for review. A list of the top priorities under consideration thus far is included below.
- **UC JSTOR Shared Print Archive survey and transition planning.** JSTOR has indicated it wishes to discontinue page validation and use 2014 to transition the print archive to a community archive with some form of continuing relationship and support. An agreement for a final phase of page validation is in development with JSTOR for 2014. CDL continues to work with JSTOR on the agreement for the final phase of page validation and an agreement for our future relationship; topics that may be addressed in the ongoing relationship may include access, storage and metadata policies. The Shared Print Strategy Group has undertaken an assessment of the print archive and service to inform future directions UC might take. The assessment included a survey of UC's AULs for Collections and the SRLF team to gather information about possible future directions, current statistics about the archive, and campus deselection statistics. A summary of the assessment will be prepared next quarter for the Strategy Team's review at which point recommendations can be made for future directions.
- **Environmental Scan of Collection Analysis Tools for Monographs.** An initial scan of tools and services was considered by the Strategy Team. None of the providers will provide everything UC might want; each provider has access to a different scope of data and capability to use/re-use the data differently for group and individual library decision-support. The Strategy Team eliminated some options, has identified some initial goals for an analysis and has prioritized data sources. Work on this aspect will resume within the timeline for the shared print monographs program.

- **Coordinated shared print journal archiving campaigns and a de-duplication service at the RLFs**. This project emerged as the top priority among the Shared Print Teams and initial exploratory work is underway. It would directly address UC's strategic goals for further advancing space reclamation through coordinated work on print journals; it would allow UCs to be more strategic about archiving decisions and it would greatly simplify processes for selecting material for storage at multiple campuses. The service would coordinate multiple print archive lists (WEST, JSTOR, IEEE, MedPrint) and title nominations. The initial thinking is that it will include a routine analysis of systemwide journal holdings at campuses and RLFs and a bibliographer-supported title nominations process to choose titles that will benefit most campuses. Adjustments to deposit allocations may be needed to support the activities undertaken by RLF staff in addition to existing deposit processes (i.e. for deduplication and disclosure); campuses would support pulling and shipping materials from a coordinated list of titles.
  - Conversations are currently underway with the Center for Research Libraries to use the collection analysis capability developed for WEST to analyze UC's *unarchived* holdings.
  - The Shared Print Operations Team is reviewing and refining an initial draft service design. The RLFs have reviewed remaining capacity for journal-size materials and existing campus allocations. The Shared Print Operations Team is developing business model recommendations to support the service within existing infrastructure and processes. The Strategy Team will review and further refine the business and operations model recommended by the Operations Team.

Extramural

- **SCELC Shared Print Monographs survey of three consortia (SCELC, CSU and UC)**. SCELC surveyed these three consortia in California to assess interest in a shared print monographs program. SCELC has opted to pursue a program independently but remains in close contact with CSU and UC Shared Print. SCELC provided the data from the survey to CDL for further analysis. The Shared Print teams may use the data in the future should UC's wish to identify other institutions in California for experiments or collaborations.
- **CRL and UC use of the journal collections analysis capability**. Conversations are currently underway with the Center for Research Libraries to use the collection analysis capability developed for WEST to analyze UC's *unarchived* holdings. This will help identify overlap in the RLFs and in campus holdings and will identify candidates for future archiving and space reclamation. (See the "coordinated print journal archiving campaigns" project in the Appendix.)

Appendix

List of priority projects, policies and infrastructure identified by the shared print teams. These may be recommended in the RoadMap 2014-2018.

<u>Projects</u>

- Coordinated shared print journal archiving campaigns and a de-duplication service at the RLFs. Includes a study of systemwide journal holdings overlap at campuses and RLFs. Includes adjustments to deposit allocations to support the service.
    - o Conversations are currently underway with the Center for Research Libraries to use the collection analysis capability developed for WEST to analyze UC's *unarchived* holdings.
    - o The Shared Print Operations Team is developing business model recommendations to support the service within existing infrastructure and processes.

- Develop a shared print monographs program for existing (retrospective) holdings. Includes development of a collection analysis capability, retention commitments, research and experiments to define a business and operations model for retained monographs.
    - o An initial environmental scan of collection analysis capabilities was undertaken. Initial goals of a data analysis, data elements, sources of data, and service options have been discussed. Recommendations are still under development.

- Develop a service to coordinate digitization and print retention of federal documents following the FEDARC group's pilot project.
    - o This would be scheduled later in the shared print team's timeline and is a placeholder to transition the pilot project currently underway to the shared print teams, when production-worthy. There may be a connection with and synergies that emerge between the Federal documents pilot and the coordinated print journal archiving campaigns that can be brought together later.

- Assess existing shared print projects and make adjustments. The Operations Team has discussed and recommended certain projects for review: JSTOR, WEST, Shared Print for Licensed Content, IEEE. The Strategy Team has not yet discussed specific projects for assessment (except for JSTOR).

Policies and infrastructure

- Advocacy with extramural organizations to develop services to support shared print (e.g. OCLC)

- Assess implementation of Shared Print in Place Policies and recommend changes.

- Disclose UC Shared Print collections in union catalogs (OCLC WorldCat, CRL PAPR) using the OCLC Shared Print Metadata Guidelines. Related to discovery, display and delivery.

- Explore discovery, display and delivery options for UC Shared Print collections. This would be focused initially on monographs with the possibility of extension to other publication types later. Related to disclosure.

- Develop a "Title Nominations" process and/or tool to identify journal archives for deposit and de-duplication at the RLFs.

- Develop a "Needs and Offers" capability to reveal and fill gaps in existing UC Shared Print journal archives.

- Analyze tool capabilities for comparing RLF and campus holdings and other shared print archives. This may get incorporated into the broader journal and monograph collection analyses undertaken for print journal archiving campaigns and the shared print monographs program.

- Explore options for collecting evidence of space reclamation progress. Consider whether Schedule B in UC's annual library statistics might be made mandatory to simplify statistics gathering for campus staff and provide management data needed by CoUL.

- Revise the "persistence policy" to support extramural partnerships. Handled by a separate group.