

# Shared Cataloging Program Annual Report FY 2010/2011

## Cataloging Activity

### Serials

At the end of fiscal year 2010/2011 there were a total of 34,570 unique titles cataloged, a net increase of 3,582 from last year. The number of access points<sup>1</sup> showed a net increase of 8,872 for an end of year total of 52,161 access points. Of 86 serial packages tracked, 49 (57%) saw net increases and 6 (7%) saw net decreases in the number of titles cataloged; the remaining 30 (35%) packages were static. Those six packages losing titles lost at the most two titles each. Of those gaining titles 39 packages saw net increases of between 1-99 titles, six of between 100-499 titles, one of between 500-999, and three of over 1,000 titles. Those packages that saw net increases of over 500 titles were EBSCO (2,372), ScienceDirect (2,023), LexisNexis (1,379), and Open Access (742). Though budgets continue to tighten, there were no complete package cancellations this past year, and, probably also due to flat budgets, there were no new serial packages licensed either.

### Monographs

There was a net increase of 19,682 unique titles and the number of access point showed a net increase of 19,605, giving end of year totals of 453,107 unique titles and 455,369 access points. We tracked 46 monographic packages of which 27 (59%) experienced net increases, three (7%) had net decreases, and 16 (35%) were static. The three packages that had net losses were EBSCO (74), Literature Online (40), and SourceOECD, now known as OECD iLibrary, (4). Of the 27 packages with net increases, 15 increased by between 1-99 titles, four between 100-499 titles, four between 500-999 titles, and four were of over 1,000 titles. Packages that increased by more than 500 titles were Springer (8,468), Alexander Street Press (3,665), IEEE (1,823), Adam Mathew (1,254), SuperStar (896), Apabi (886), CRC Press (813), and Knovel (621). There were no monographic package cancellations, but there were four additions: Adam Mathew Digital, SPIE e-books, Springer Protocols, and Wiley o-books.

### Integrating Resources

Our new total at the end of the year was 632, an increase of 27 unique titles from last year. Total access points stood at 658, an increase of 40 from last year.

### California Documents

California documents are now a very small component of SCP's workload. New monograph additions totaled 35; serial additions were 29; integrating resources were two. End of year totals were 5,600 monographs, 1,148 serials, and 50 integrating resources. These data are included in the above totals for serials, monographs, and integrating resources.

### Chinese Language Resources

The numbers for access points were 8,366 serials, 2,388 monographs and 20 integrating resources. We do not have coding in place to extract the number of unique titles cataloged specifically for Chinese language resources but hope to have a system in place

<sup>1</sup> Access points refer to actual number of 856 links found in records. A unique title catalog may have multiple 856 links representing access from different providers.

to report that data in future reports. Of the seven serial packages tracked, all but one had net access point increases with the most being for China Academic journals (303), China online journals (283), and Century journals project (230). We tracked four monographic packages; those showing the most net access point increases were SuperStar (896) and Apabi (886). These data are included in the above totals for serials, monographs, and integrating resources.

### **Link Resolvers**

The number of maintained PIDs is now 182,348, an increase of 24,021 over last year. Staff created 1,799 BibPURLs, bringing the total of BibPURLs maintained to 16,375.

## **Other Activity**

**Program for Cooperative Cataloging (PCC).** Becky Culbertson continued as chair of the PCC Standing Committee on Standards (SCS). SCS develops and reviews national standards for implementation by the PCC. As most all the UC Libraries are PCC members and as SCP follows PCC cataloging standards, the work Becky does and directs ends up having great impact on our work.

SCP catalogers participated on the CONSER Open Access Project, an effort to gauge the feasibility of coordinating cataloging among CONSER member institutions to create CONSER records for open access serials. The pilot started with the Directory of Open Access Journals (DOAJ). One end product, a listing of all the DOAJ titles cataloged by pilot participants, will be made available at the end of the pilot in October 2011, at which time SCP will acquire and distribute records to the campuses for those titles cataloged by the other participants.

With regards to direct PCC contributions, SCP catalogers created 3 original CONSER records, enhanced 778 CONSER records, performed CONSER related work on two non-CONSER records, authenticated (converted non-CONSER record to CONSER record) 43 records, and re-authenticated one record. For NACO, SCP catalogers added 12 new and one revised headings to the national authority file. SCP continued its participation in the CONSER PURL program (BiBPURL work noted above). This work supports a PCC wide effort to provide and maintain persistent access to open access resources.

Since November 2010, the process for creating **SCP Local Holdings Records** for OCLC has become a weekly routine. This process is derived from our existing processes for creating the weekly bibliographic files for the ten campuses.

Adolfo Tarango continued to serve on the **Springer E-Book Pilot Task Group**. The pilot is a means to explore various aspects of a systemwide purchase of electronic monographs. While assisting with various aspects of the Group's work, Adolfo volunteered to serve on the Group's Staff Assessment Subcommittee, charged with investigating the staffing impact of the Springer pilot. In consultation with the other members of this subcommittee, he developed and analyzed two surveys for systemwide distribution to collect data on the pilot's staffing impact in the areas of cataloging and preservation activities. His work on this subcommittee is ongoing.

## Staffing

In August 2010, SCP lost one of its first catalogers hired when Renee Chin took a promotional opportunity and accepted a cataloging position with the UC San Diego Libraries. Donal O'Sullivan moved into her position and in November 2010, Annie Ross was hired to fill in behind Donal. These staffing changes permitted a reassignment of duties so catalogers are now generally responsible for specific types of cataloging: monographs, serials, or integrating resources. Throughout the year we enjoyed the services of two volunteers, Abby Lowery and Simon O'Riordan, who helped out on various cataloging projects. We also benefitted from the assistance of Teri Vogel, a UCSD Librarian, who enhanced Materials Research Society records with subject headings.

SCP staff adjusted to an organizational change implemented July 1, 2010. On that date, SCP and the CDL Acquisitions Unit were brought together as Systemwide Collection Services with staff in both units reporting to Adolfo Tarango.

## Horizon Issues

**NGTS initiatives** have moved forward through the creation of various Power of Three (POT) groups. A few of them deal directly with SCP, touching on issues such as funding, scope, and work efficiencies. The work of these groups may radically change the nature and structure of the program. SCP must position itself to be part of, or provide input to, these groups and to respond quickly and effectively to potential changes.

The national libraries have announced that adoption of the new cataloging code, **RDA**, will be no earlier than January 2013. While not a certainty since a variety of conditions need to be met, SCP is assuming implementation will occur at some point in the next two to three years. The UC Libraries, through CAMCIG, are looking to develop plans for training and implementation, hopefully in a coordinated fashion. SCP needs to be part of these efforts and will need to invest in time for training, plus revise various procedures and processes to account for the new cataloging rules. We are tracking these developments and will strive to move in tandem with our systemwide colleagues.

Various **OCLC developments** continue to be of interest and are being monitored by SCP. This includes their knowledgebase, which appears to be a much more comprehensive KB than SFX and Serials Solutions with regards to the resources cataloged by SCP. It also includes developments in functionality which support features such as "View Now," a feature that links users directly to a resource from the initial OCLC results screen. These developments could offer some significant cost savings and/or work efficiencies to SCP and/or force modifications to existing workflows and cataloging procedures if activated.

## Review of 2010/2011 Goals

1. *Through participation in specifically assigned task group(s), investigate options for and recommend viable alternatives to redundantly maintaining holding and coverage data across systems and databases.*

Ivy Anderson charged the Systemwide E-Resources Workflow Efficiencies Task Group to investigate alternatives. The Task Group's charge was later narrowed to investigate the benefits of acquiring vendor records from SFX or Serials Solutions. The work of this task group is ongoing.

2. *Continue to identify and implement efficiencies through the ongoing reengineering of workflows and development of batch processing techniques.*

Staff continued to refine cataloging processes and to develop and improve macros and batch processes that increase cataloging productivity. For example, as will be noted in a future Springer pilot report on cataloging, manual cataloging cost for Springer monographs dropped from an overall \$15.31 per record at the end of July 2010, to \$7.81 per record at the end of May 2011. If we include the records processed completely by batch processes the cost drops further to \$1.58 per record. Donal O'Sullivan has been the primary writer of our macros, two of which were posted on the PCC site for wider distribution as well as referenced in the book *E-Books in Academic Libraries* by Ksenija Mincic-Obradovic, University of Auckland, New Zealand.

3. *Complete OCLC Local Holdings project and develop and implement mechanisms for creating/adding/updating LHRs in OCLC on an ongoing basis.*

The OCLC Local Holding project is completed and Kate Garvey-Clasby has effectively routinized the creation and maintenance of LHRs into a weekly operation.

4. *Monitor the NGTS process and work with NGTS groups on recommendations that affect SCP.*

No NGTS activities requiring SCP action occurred during this time period, though SCP staff did participate in appropriate discussion throughout the year.

5. *Keep current with cataloging and with serial and URL maintenance.*

Following JSC approved priorities, SCP was able to address a variety of backlogs, and generally kept current with the ongoing workload.

6. *Continue to identify and make use of vendor record sets or vendor data to improve cataloging efficiencies.*

SCP made use of vendor records for Knovel, Alexander Street Press, Wiley, and Springer. Additionally, SCP used other data in vendor spreadsheets to generate

brief records that could be used and loaded in OCLC. Use and creation of these records has been possible due to Kate's expanding skills in using MARCEdit and Innovate Interfaces Millennium global update functionality.

7. *Working with appropriate groups, contribute to the development of a long-term, stable economic, staffing, and governance foundation for SCP.*

No such groups were constituted, but we expect them out of NGTS initiatives for the coming year.

8. *Explore possibilities for creating additional WorldCat Collection sets.*

WorldCat set for Encyclopedia of Taiwan created.

### **Goals for 2011-2012**

1. *Monitor the NGTS process and work with NGTS groups on recommendations that affect SCP, participate on specifically assigned task group(s), and support the work of other groups as requested.*
2. *Continue to identify and implement efficiencies through the ongoing reengineering of workflows and development of batch processing techniques. Support use and ongoing training of staff in batch processing tools such as MARCEdit.*
3. *As appropriate, train in RDA cataloging.*
4. *Keep current with cataloging and with serial and URL maintenance.*
5. *Continue to identify and make use of vendor record sets or vendor data to improve cataloging efficiencies.*
6. *Explore possibilities for creating additional WorldCat Collection sets.*
7. *Review and update as appropriate, the SCP website and posted documentation.*

*Submitted on October 31, 2011 by Adolfo R. Tarango*

*SCP Web site: <http://www.cdlib.org/inside/projects/scp/>*

## Productivity/Statistics

<b>Titles cataloged since inception through:</b>			
	<b>June 30, 2010</b>	<b>June 30, 2011</b>	<b>FY 2010/11 Net Increase</b>
Serials	30,988	34,570	<b>3,582</b>
Monographs	433,425	453,107	<b>19,682</b>
Integrating Resources	605	632	<b>27</b>
<b>Total</b>	<b>465,018</b>	<b>488,309</b>	<b>23,291</b>

<b>Link statistics since inception through:</b>			
	<b>June 30, 2010</b>	<b>June 30, 2011</b>	<b>FY 2010/11 Net Increase</b>
Serials	42,170	51,013	<b>8,843</b>
Monographs	430,199	449,769	<b>19,570</b>
Integrating Resources	566	608	<b>42</b>
CalDoc serials	1,119	1,148	<b>29</b>
CalDoc monographs	5,565	5,600	<b>35</b>
Cal Doc IRs	52	50	<b>(2)</b>
<b>Total</b>	<b>479,671</b>	<b>508,188</b>	<b>28,517</b>

PIDs	158,327	182,348	<b>24,021</b>
BibPURLs	14,576	16,375	<b>1,799</b>
<b>Total</b>	<b>172,903</b>	<b>198,723</b>	<b>25,820</b>

<b>FY 2010/2011 Production Transactions Details</b>				
	<b>New Access<sup>1</sup></b>	<b>Modified Records<sup>2</sup></b>	<b>Withdrawn Access<sup>3</sup></b>	<b>Total Transactions</b>
Serials	7,834	16,908	140	<b>24,882</b>
Monographs	19,316	31,998	831	<b>52,145</b>
Integrating Resources	28	187	4	<b>219</b>
CalDoc Serials	46	52	8	<b>106</b>
CalDoc monographs	308	23	99	<b>430</b>
CalDoc IRs	---	--	1	<b>1</b>
<b>Total Transactions</b>	<b>27,532</b>	<b>49,168</b>	<b>1,083</b>	<b>77,783</b>

<sup>1</sup>New Access: all instances of adding an 856 link, either because a new title was cataloged, or a new link was added to previously cataloged title.

<sup>2</sup>Modified Records: all instances of bibliographic record maintenance such as updating holdings data, processing title changes, correcting cataloging errors, etc

<sup>3</sup>Withdrawn Access: all instances of the removal of an 856 link

<b>SCP Record Distribution Statistics FY 2009/2010</b>				
<b>Campus</b>	<b>Monos</b>	<b>Serials</b>	<b>Special Distributions</b>	<b>Totals</b>
UCB	52,752	25,200	---	<b>77,952</b>
UCD	44,559	24,136	---	<b>68,695</b>
UCI	52,816	25,136	---	<b>77,952</b>
UCLA	51,653	25,294	---	<b>76,947</b>
UCM	49,542	24,946	---	<b>74,488</b>
UCR	47,809	25,097	---	<b>72,906</b>
UCSB	49,162	25,596	---	<b>74,758</b>
UCSC	47,421	25,001	---	<b>72,422</b>
UCSD	51,419	25,044	---	<b>76,463</b>
UCSF	39,541	24,533	---	<b>64,074</b>