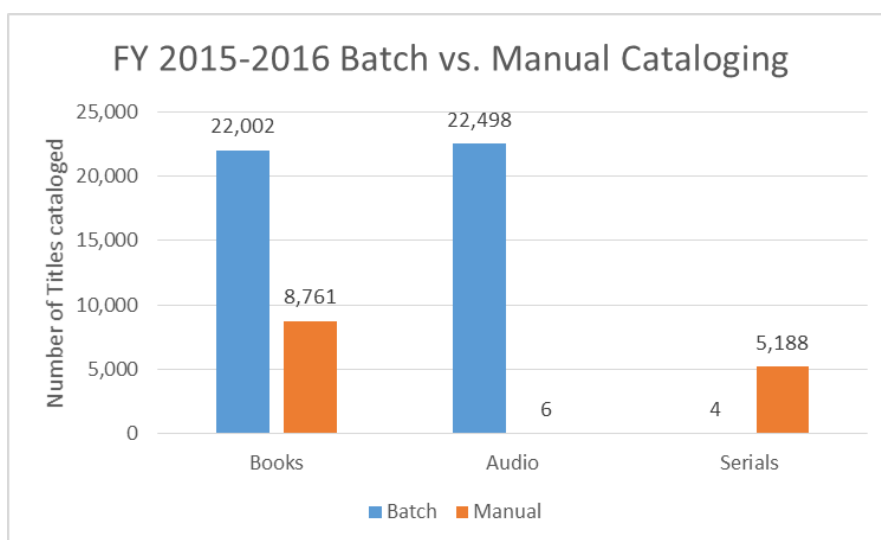


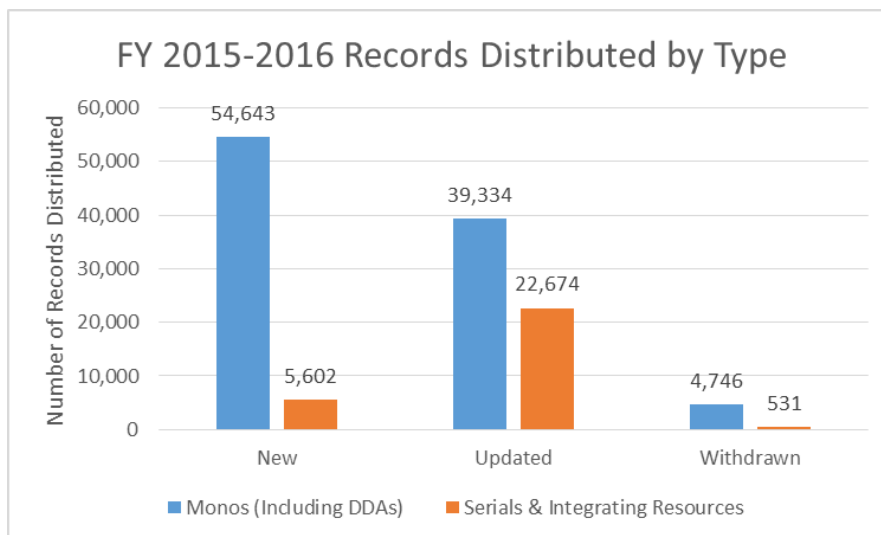
Shared Cataloging Program Annual Report, 2015-2016

Section 1. Cataloging Activity

- During July 2015-June 2016, the Shared Cataloging Program (SCP) monitored, tracked, and identified titles in 549 CDL licensed and selected open access packages/sub-packages for cataloging (based on collection titles created). SCP cataloged total 30,763 titles of e-books, 22,504 titles of online audios, 5,192 titles of e-serials, and batch cataloging was 76%; net increase of 52,139 titles of e-book & online audio and 4,488 titles of e-serials. For details, see <http://www.cdlib.org/services/collections/scp/docs/2015-2016annual.xlsx>



- SCP distributed total 127,530 records to ten campuses, including 98,723 e-monographic records and 28,807 e-serials records, roughly 46% records were handled via batch updating/deleting including updates from OCLC Collection Manager Daily Report.



- More statistical details listed below:

Table 1: Unique Titles Cataloged as of (Non-Chinese vs. Chinese):

	June 30, 2015	June 30, 2016	FY 2015/2016 Net Increase	FY 2015/2016 Percentage Increase
Monographs	691,776	744,947	53,171	7.69%
Serials	46,653	49,053	2,400	5.14%
Integrating Resources	699	737	68	9.70%
Chinese Monographs	13,574	15,604	2,030	14.96%
Chinese Serials	12,662	12,841	179	1.41%
Chinese Integrating Resources	28	32	4	14.29%
Total Monographs	713,876	766,015	52,139	7.30%
Total Serials	56,605	61,093	4,488	7.93%
Total Integrating Resources	727	769	42	5.78%
Grand Total	771,208	827,877	5,6669	7.35%

Note: Due to overlapping, the total number will not equal to the combination of Chinese and non-Chinese (Data provided by Renee Chin)

Table 2: Unique Titles Cataloged as of (Licensed vs. Open Access):

	June 30, 2015	June 30, 2016	FY 2015/2016 Net Increase	FY 2015/2016 Percentage Increase
Licensed Monographs	691,776	744,947	53,171	7.69%
Licensed Serials	46,653	49,053	2,400	5.14%
Licensed Integrating Resources	612	642	30	4.90%
Open Access Monographs	21,119	21,700	581	2.75%
Open Access Serials	14,234	16,540	2,306	16.20%
Open Access Integrating Resources	116	127	11	9.48%

Table 3: *Top Ten New Collections Added:

Top Ten	New Package Title	Title Count	Type
1	ICPSR online datasets	9,261	Mono
2	TransTech monographs	2,349	Mono
3	JapanKnowledge online monographs	862	Mono
4	Reveal Digital. Independent voices online journals	516	Serials
5	ASCE Library monographs	448	Mono
6	Business Expert Press online monographs	378	Mono
7	Newsbank/Readex online newspapers. Early American newspapers series 2, 1758-1900	293	Serials
8	Open Book Publishers online monographs	68	Mono
9	Oxford online monographs. Literature handbooks	42	Mono
10	ASCE Library online journals	40	Serials

*Note: Total 56 packages/sub-packages added in the year 2015/2016, see Table 9 below.
(Data based on 793 statistics report provided by Kate Garvey-Clasby)

Table 4: Top Ten Collections Distributed:

Top Ten	Monographs		Serials	
1	Naxos audio	22,498	Open access resource selected by the UC Libraries	2,902
2	ICPSR online datasets	9,261	Reveal Digital. Independent voices online journals	516
3	Springer monographs	4,993	EBSCO journals	462
4	TransTech monographs	2,349	JSTOR online journals	456
5	Superstar. Chinamaxx monographs	2,219	LexisNexis academic online journals	372
6	IEEE monographs	1,907	Newsbank/Readex newspapers	309

7	NBER online monographs. Working papers	1,290	Superstar. Chinamaxx journals	150
8	Elsevier monographs	1,084	BioOne online journals	80
9	Wiley monographs	1040	Wiley-Blackwell online journals	72
10	JapanKnowledge online monographs	862	ASCE Library online journals	40

Note: Data based on 793 statistics report provided by Kate Garvey-Clasby

Table 5: Access points (links) as of:

	June 30, 2015	June 30, 2016	FY 2015/2016 Net Increase	FY 2015/2016 Percentage Increase
Monographs	710,633	757,287	46,654	6.57%
Serials	64,261	69,658	5,397	8.4%
Integrating Resources (IRs)	738	764	26	3.52%
Chinese Monographs*	13,742	17,501	3,759	27.35%
Chinese Serials	16,741	16,959	218	1.3%
Chinese IRs	29	33	4	13.79%
Total Monographs	724,375	774,788	50,413	6.96%
Total Serials	81,002	86,617	5,615	6.93%
Total IRs	767	797	30	3.91%
Grand Total	806,147	862,211	56,064	6.95%

*Note: Count including DDA (Data based on 793 statistics report provided by Kate Garvey-Clasby)

Table 6: Persistent Identifiers as of:

	June 30, 2015	June 30, 2016	FY 2015/2016 Net Increase	FY 2015/2016 Percentage Increase
Active PIDs	93,455	101,857	8,402	9%

BibPURLs*	36,708	40,175	3,476	9.4%
Total	130,163	142,032	11,869	9.1%

* Note: PIDs data provided by CDL-Lucy Liu; BibPURLs Count, 2015/2016: created, 3,467, modified, 555; 2014/2015: created, 4483, modified, 773 (BibPURL data provided by Renee Chin)

Table 7: Cataloging activities:

	Autostat Count	Percentage
Batch processed	44,504	63.7%
Manual cataloged	25,378	36.3%
Total	69,882	100%

Note: Data based on MS AutoStat statistics reports of batch vs. manual cataloging, include all cataloging activities beyond title count, except batch maintenance.

Table 8: Cataloging records distributed by type:

	New	Updated	Withdrawn	Total
Serials	5,588	22,526	*524	28,638
Monos (Including DDAs)	54,421	39,331	*4,746	98,498
IRs	10	73	3	86
CADOC Serials	4	75	4	83
CADOC Monos	222	3	0	225
CADOC IRs	0	0	0	0

Note: Data based on 599 statistics report provided by Kate Garvey-Clasby

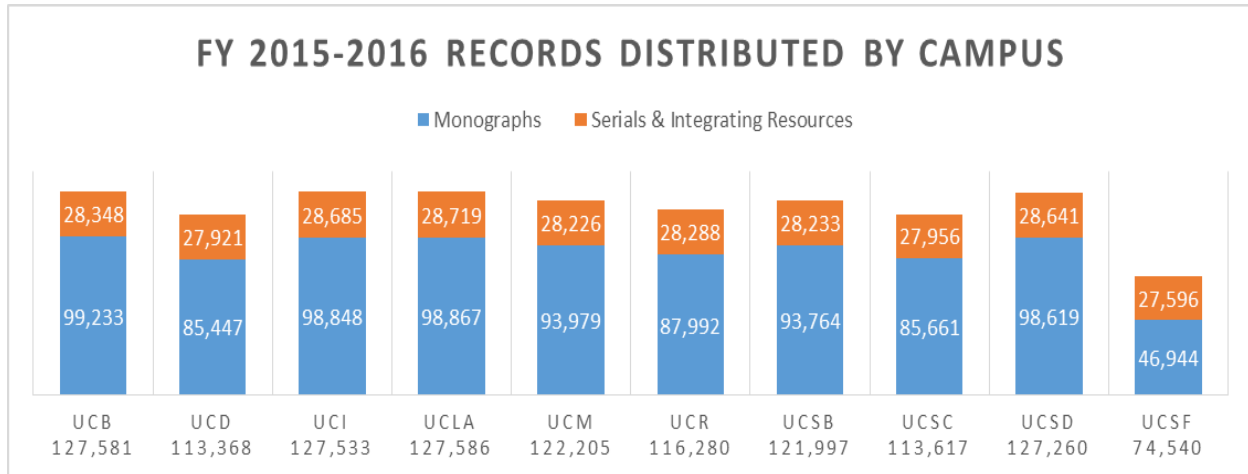
*Collections with large scale withdrawn are: all individual titles from CIAO (serials 31 and monographs 222), and 2,500 titles from DOAJ. See details in SCP Monthly Updates (April & May 2016)

Table 9: Bibliographic Record Distributions for FY 2015/2016:

Campus	Record distributed	UCB	UCD	UCI	UCLA	UCM	UCR	UCSB	UCSC	UCSD	UCSF
Monographs	98,723	99,233	85,447	98,848	98,867	93,979	87,992	93,764	85,661	98,619	46,944

Serials	28,807	28,348	27,921	28,685	28,719	28,226	28,288	28,233	27,956	28,641	27,596
Totals	127,530	127,581	113,368	127,533	127,586	122,205	116,280	121,997	113,617	127,260	74,540

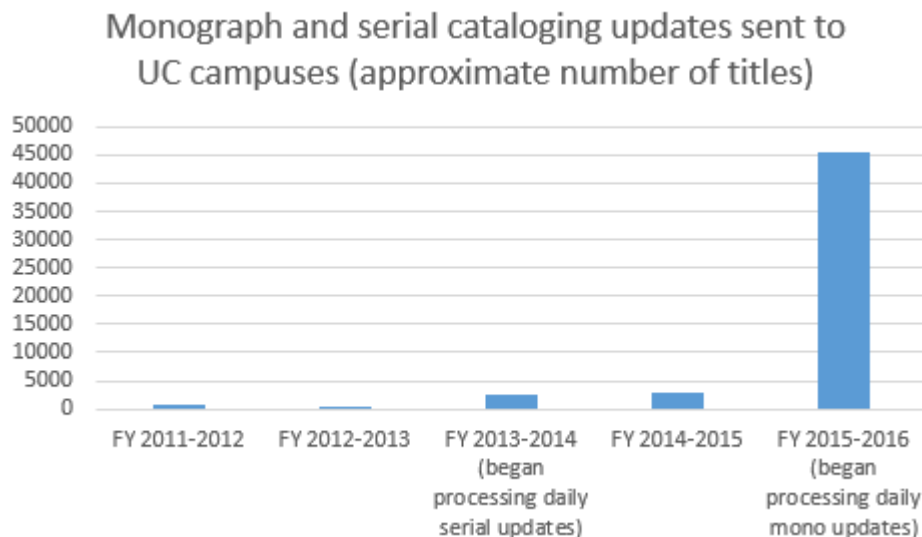
Note: Data provided by Kate Garvey-Clasby



Section 2. Other Activities and Accomplishments

- **Staffing:**
 - 4.55 FTE: librarians: 2.05 FTE, professional staff: 2.5 FTE.
 - Adolfo retired on April 1, 2016 and became the Head of Technical Services at the University of British Columbia Library
 - Shi Deng became interim Unit Head for SCP in March 2016
- **SCP website updates:**
 - Adolfo R. Tarango, Becky Culbertson, and Annie Ross participated in a reviewing and editing SCP webpages, as part of a CDL initiated project for a complete review of all CDL web spaces.
 - Donal O'Sullivan created SCP Unit page and posted quantifying e-resource packages documentations on LiSN, UC San Diego Library internal website.
- **ILS/LSP Process:** Researched, compared the landscape and made a recommendation for an ILS to replace Millennium as key players on the ILS Replacement Task Force.
 - Adolfo participated on the ILS Replacement Task Force representing SCP.
 - Shi participated on the ILS Replacement Task Force representing SCP, May 2016-
 - SCP staff provided input on the MS Needs and Wants for an ILS.

- **Meeting with CDL Managers:** SCP had opportunities to meet with CDL managers and engaged in conversation focusing on SCP operations, staffing needs, and its collaboration with CDL Acquisitions and other staff in Oakland.
 - May 12, 2016: met Ivy Anderson, Director of CDL Collection Development and Management, and Mihoko Hosoi, Assistant Director for Systemwide Licensing.
- **Improve work efficiency and effectiveness:**
 - After successfully using OCLC WorldShare Collection Manager Daily Update Report Service for serials in 2014, Kate Garvey-Clasby started setting profile to process OCLC Daily Updates for monographs, such as getting bibliographic records updated from K level to full level or adding subjects, etc. to improve the quality of the SCP records. When medical subject (MeSH) headings were deconstructed in 2016, the daily updated files were very helpful in identifying and overlaying the thousands of monograph and serial records that were changed. As a result, we had more than 45,000 SCP records updated via this service, over ten times more than previous year, which helped us deliver higher-quality records to the ten UC campuses on a weekly basis. (see chart below)
 - Becky Culbertson and Kate Garvey-Clasby worked together and developed an innovative process for creating the National Academies Press (NAP) collection in the OCLC Knowledge Base. As of Thursday, August 18, 2016, the collection has 4,845 records and continue to grow.
 - As part of UCSD Metadata Services' evaluation of the services we provide and our current workflows to determine what we need to keep doing, do differently, or stop doing, SCP Staff participated and provided input on this given topic.



- **Support staff development:**
 - Attended ALA Midwinter, Jan. 2016: Becky Culbertson and Adolfo R. Tarango
 - Attended CEAL annual conference & pre-conference workshops on cataloging & on Open Discovery Initiative (ODI), March 2016: Bie-Hwa Ma & Shi Deng

- Attended ALA Annual, June 2016: Becky Culbertson & Shi Deng
- Attended NASIG, June 2016: Bie-Hwa Ma & Donal O'Sullivan
- Attended NASIG pre-conference workshop on MarcEdit and KBART : Bie-Hwa Ma
- Staff also attended various webinars, training, and programs provided in-house.
- **Staff professional organizational involvement** (ALA, PCC, CEAL, etc.):
 - Becky Culbertson finished the 2nd term (3 years per term) of serving as chair for PCC Standing Committee on Standards in Oct. 2015, contributed greatly on the development of PCC policy and standards, including *PCC RDA BIBCO Standard Record*, *CONSER Standard Record*, *Provider-Neutral E-resource*, and *PCC Guidelines for Creating Bibliographic Records in Multiple Character Sets*, to name a few.
 - Becky Culbertson continued to serve as a member of the HathiTrust User Support Working Group to process cataloging corrections generated from the HathiTrust feedback mechanism.
 - Becky Culbertson became a member of the NISO PIE-J Subcommittee, June 2016.
 - Bie-Hwa Ma (co-chair) and Shi Deng (member) served on CEAL Task Force on Metadata Standards and Best Practices for East Asian Electronic Resources. Bie-Hwa led the TF members and organized 2016 pre-conference workshop on Open Discovery Initiative (ODI) Recommendations for Content Providers and Library Professionals.
 - Bie-Hwa Ma and Shi Deng worked closely on starting the *China-U.S. Translation and Research Collaboration Project on Electronic Resource Standards and Recommended Practices in the United States*, a collaboration project between CEAL members and members of China Academic Library & Information System (CALIS).
- **Share our expertise through collaboration and consultation:**
 - Annie Ross continued the collaboration with catalogers at the University of Maryland on cataloging IEEE conference titles and served as the primary contact for the project.
 - Becky Culbertson worked with Reveal Digital to catalog the titles in their acclaimed *Independent Voices* digital collection; she also arranged for the Library of Congress to create online CONSER records from the print records that she had processed.
 - Bie-Hwa Ma initiated and launched three [cooperative cataloging projects for Chinese electronic resources](#) in Sep. 2015 to catalog **Dacheng old periodical** (7,500 titles), **Chinese periodical full-text database (1911-1949)** (25,000 titles), and **China academic journals (CAJ), series F, G, H, and J** (mostly current journals, 4500 titles) respectively.

- Donal O’Sullivan led the discussion on quantifying e-packages with catalogers across units on discussing and developing working procedures for managing e-packages.
- Donal O’Sullivan was contacted by Michael Kim and Tom Brittnacher at UC Santa Barbara for his expertise and ongoing work with OCLC macros. He was able to help them with their map project by modifying one of his provider neutral macros.
- Bie-Hwa Ma, Shi Deng, and Susan Xue (UC Berkeley), co-authored a presentation *Metadata Checklist on Acquiring E-resources: Leveraging NISO Standards and Best Practices*, co-presented at the East Asian Academic Librarians of California (EAALC)/ UC East Asian Bibliographers Group (UCEAB) 2015 Annual Meeting, Stanford University, Palo Alto, CA, Sep. 18, 2015.
- Shi Deng co-presented with Susan Xue (UC Berkeley) on E-books, DDA, and NISO standards, a three-hour training to staff at China National Publication Import & Export Corp., Beijing, China, on June 24, 2016.
- Bie-Hwa Ma, Shi Deng, and Susan Xue (UC Berkeley) co-authored an article *Leveraging NISO Standards and Best Practices to Improve Discovery and Access of Digital Resources*, included in *Libraries: Enabling Progress*, the proceedings of the Eighth Shanghai International Library Forum, 2016.
- Susan Xue (UC Berkeley), Bie-Hwa Ma, and Shi Deng, co-authored a presentation *Leveraging Standards to Improve Discovery of Chinese E-Resources*, presented by Susan Xue at the 8th Shanghai International Library Forum, Shanghai, China, July 7, 2016.
- Bie-Hwa Ma, Shi Deng, and Susan Xue (UC Berkeley), co-authored a presentation *Why PIE-J & KBART Matter? Title-level Metadata and E-Resources Discovery*, presented by Bie-Hwa Ma at 2016 International Conference on Integrated Development of digital Publishing and Digital Libraries (CDPDL), Hangzhou, China, July 12, 2016.

Section 3. SCP Cost-Benefit Analysis (to be developed)

Section 4. Goals for 2016-2017

- Conduct data analysis on SCP increased workload and develop strategies to address the staff need to meet the demand.
- Participate in the UC San Diego Library selection process of an integrated library system (ILS)/library service platform (LSP) and provide input on functional requirements for SCP operations.
- Review/update SCP policies and procedures as well as procedures for all SCP packages as needed.