

Shared Cataloging Program Annual Report FY 2012/2013

Cataloging Activity (Tables 1 & 2)

The total number of electronic resources cataloged increased by 68,133 unique titles during fiscal year 2012/2013, an increase of 10.7% from last year. As of June 30, 2013 then, there were **635,144 unique titles** cataloged. A report showing the total number of titles cataloged for each package as of the end of the fiscal year and the net change from last year, can be found at <http://www.cdlib.org/services/collections/scp/docs/2012-2013.pdf>.

SCP's annual cataloging output now averages 47,048 titles per year, an increase from last year's annual average of 45,361 titles per year.

Monographs

As of June 30, 2013, **585,787 unique monographs** had been cataloged, a net increase of 58,490 (10%) from last year. Of these, 580,414 are non-Chinese monographs, an increase of 56,177 (9.7%) from last year; 5,373 are Chinese language monographs, an increase of 2,313 (43%) from last year.

We ended the fiscal year with a total of 588,045 links¹, an increase of 59,552 (10.1%) over the past year. Of the total, 580,752 links are for the non-Chinese titles, and 7,293 are for the Chinese language titles, increases of 56,395 (9.7%) and 3,157 (43.3%) from last year, respectively.

We tracked 177 non-Chinese monographic packages of which 28 (15.8%) were new, 60 (33.9%) experienced a net increase in titles, 69 (39 %) had no net change from last year, 16 (9%) had a net decrease in titles, and four (2.3%) were removed.

The four packages removed were all CRC Press packages that were removed due to the publishers restructuring their content into different collections; no actual content was lost. Of the 16 packages showing net decreases, ASP American Song and ASP Theatre in Video lost 47 and 29 titles respectively. The remaining 14 packages had losses of ten or less titles per package with 35 titles lost in total.

Of the 60 packages increasing in title counts, 31 increased by 1-99 titles, 14 between 100-499 titles, 10 between 500-999 titles, and 5 increased by over 1,000 titles.

The 15 packages which increased by 500 or more titles were: Early English Books (30,443 titles), Early American Imprints Series I (2,527 titles), IEEE Conference Proceedings (2,206 titles), SPIE Conference Proceedings (1,293 titles), Wiley (1,272 titles), SpringerLink Engineering (962 titles), SpringerLink Humanities, Social Sciences, and Law (947 titles), SpringerLink Business and Economics (930 titles), ACM Digital Library (694 titles), Knovel (670 titles), SpringerLink Computer Science (653 titles),

¹Links refer to the actual number of 856 links found in records. A unique title cataloged may have multiple 856 links representing access from different providers.

SpringerLink Medicine (632 titles), ASP Classical Music Library (592 titles), CalDocs (537 titles), SpringerLink Biomedical and Life Sciences (533 titles).

The 28 new packages added 7,783 new resources to our catalogs. Those with 500 or more titles were: ASTM (1,383 titles), Selections from Sabin Americana (1,296 titles), ACS Symposium Series (1,086 titles), Twayne's Authors (863 titles), American Geophysical Union (570 titles), and Royal Society of Chemistry (519 titles).

We tracked 7 Chinese language monographic packages of which 1 (14.3%) was new, four (57.1%) gained content, one (14.3%) had no change, and one (14.3%) had a net loss of content. SuperStar Chinamaxx Phase 1 was the single new package (1,785 titles) and the four packages that gained content were our three Apabi packages, Ebooks (926 titles), Reference Works (1 title), and Yearbooks (1 title), and Superstar Chinamaxx (445 titles). The single package losing content was Encyclopedia of Taiwan (1 title).

Serials

As of June 30, 2013, **48,687 unique serial titles** had been cataloged, a net increase of 9,624 (19.8%) from last year. Of these, 36,761 were non-Chinese serials, and increase of 2,693 (7.3%); 11,926 were Chinese language serials, an increase of 6,931 (58.1%).

The total number of links increased to 68,866, an increase of 10,598 (15.4%) from last year. Of these links 52,683 were for non-Chinese language resources, an increase of 3,340 (6.3%), and 16,183 were for Chinese language resources, an increase of 7,258 (44.8%).

Of the 163 non-Chinese serial packages tracked over the year, 8 (4.9%) were new, 65 (39.9%) had a net gain in titles, 68 (41.7%) had no net change, 19 (11.7%) loss titles, and 3 (1.8%) were removed.

All three removed packages were open access packages which were removed when they ceased to be open access; eleven titles were lost. Sixteen of the packages losing content lost 10 or less titles a piece, for a combined loss of 46 titles. The remaining four packages -- Lexis-Nexis Academic, EBSCO Business Source Complete, and Ethnic Newswatch -- lost 146, 18, and 11 titles, respectively.

Of the 65 packages gaining titles, 59 showed gains of between 1-99 titles, four had increases of between 100-499 titles, and two had increases of over 500 titles. The packages growing by 100 or more titles were EBSCO Academic Search Complete (1,163 titles), DOAJ (899 titles), JSTOR (297 titles), Generic Open Access (244 titles), Wiley-Blackwell (148 titles), and PubMed Central (134 titles)

The eight new packages added just 69 new titles with the only significant sized package being journals included in the Royal Society of Chemistry RSC eBook Collection which contained 48 titles.

We tracked 26 Chinese language serial packages. Four (15.4%) were new, six (26.1%) gained titles, 11 (42.3%) had no change, and five (21.7%) lost content. The five packages

losing content lost either one or two titles for a combined loss of 8 titles. Of the six packages gaining content, the most significant gains was to DragonSource (116 titles), the rest gained a combined total of 38 titles. Three of the four new packages were just the result of repackaging of titles in existing packages into new packages. The remaining new package, Dacheng, added 6,970 titles.

Integrating Resources (IR)

Our new total for integrating resources was 670 unique titles, an increase of 19 (2.8%). There were 648 non-Chinese and 22 Chinese language IRs. The total number of links was 695, an increase of 15 (2.2%) from last year, all but one of which were for non-Chinese language resources.

Persistent Identifiers (Table 3)

The number of maintained PIDs is now **250,840**, an increase of 11,375 over last year. For open access materials, staff also created 2,498 BibPURLs, bringing the total of BibPURLs maintained to **21,241**.

Other Activity

Program for Cooperative Cataloging (PCC). Becky Culbertson continued as chair of the PCC Standing Committee on Standards (SCS). SCS develops and reviews national standards for implementation by the PCC. As several of the UC Libraries are PCC members and as SCP follows PCC cataloging standards, Becky's leadership within the PCC has great and positive impact on our work. Work on establishing PCC practices for use with the new cataloging code, RDA, has been paramount for SCS and Becky has been instrumental in the development of many critical policies and standards.

SCP catalogers finished work on the CONSER Open Access Project Phase 2 and started participating in phase three of the project. The project is a coordinated effort to catalog Directory of Open Access Journals (DOAJ) serials. For Phase 2 SCP cataloged 110 titles and for Phase 3, was assigned 70 titles. However, as an offshoot of the project, SCP was able to add over 500 new DOAJ titles cataloged by other project participants.

With regard to direct PCC contributions, SCP catalogers created five original CONSER records, enhanced 1112 CONSER records, and authenticated (converted non-CONSER record to CONSER record) 39 records. For NACO, SCP catalogers added 32 new and three revised headings to the national authority file. SCP continued its participation in the CONSER PURL program (BibPURL work noted above). This work supports a PCC-wide effort to provide and maintain persistent access to open access resources. All SCP staff continues to participate in the UC CONSER Funnel.

SCP continued its **cataloging partnership with the University of Maryland, College Park** for IEEE conference proceedings. The cataloging of newly added content to this package is split between the two institutions and each can retrieve for their use, the records produced by the other.

The IEEE conference proceedings happen to be a **WorldCat Collection Set**. WorldCat Collection Sets are sets of bibliographic records created when OCLC has determined a market need for a set of MARC records. OCLC either creates these sets itself or works with an institution to create them. Once created, libraries that have access to these resources can purchase the Collection Set of MARC records. This is a significant labor saving device for libraries, and if a library is creating the Collection Set, they earn OCLC credits for creating them. SCP has been highly proactive in suggesting these sets having convinced OCLC to establish 16 of them.

Kate Garvey-Clasby continued to create and post weekly the **SCP bibliographic files** for each of the ten campuses. Over 1.7 million records (Table 5) were so distributed, almost 650,000 more than last year. Each campus received on average 176,840 records over the course of the year, 36.7% more than last year. Kate also continued to create and send to OCLC the **SCP Local Holdings Records** for each of the ten campuses.

Various SCP staff served on systemwide groups. Adolfo Tarango continued to represent SCP on **CAMCIG** (Cataloging and Metadata Common Interest Group). Becky and Adolfo continue to serve as ex officio members on the **SCP Advisory Committee**. The work of some of the **NGTS** initiatives continued into the beginning of this fiscal year with Adolfo participating on the work of POT5. Following up on a recommendation made by POT6, Adolfo, Donal O'Sullivan, and Kate work with staff at UC Irvine to set up a cataloging pilot project to test the feasibility of another campus cataloging SCP resources. The pilot was launch in April and is scheduled to run for a year.

SCP staff spent time responding to official **Help Desk submissions** as well as resolving problems reported to them directly.

Staffing

Staffing remained stable for the fiscal year at 4.3 FTE and currently includes:

Becky Culbertson, Cataloging Librarian (Librarian - .8 FTE)
Kate Garvey-Clasby, Copy Cataloger and Files Processor (LA IV - .75 FTE)
Bie-Hwa Ma, Chinese Language Cataloging Librarian (Librarian - 1 FTE)
Donal O'Sullivan, Principal Electronic Monographs Cataloger (LA IV - 1 FTE)
Annie Ross, Electronic Resources Cataloger (LA IV - .5 FTE)
Adolfo R. Tarango, Unit Head (Librarian - .25 FTE)

Our staff was aided by four very talented student assistants: Sarah Kang, Sidao Li, Chih Lo, and Kristina Tran. Sidao and Chih worked on the Chinese language materials and Sarah and Kristina supported work on non-Chinese materials.

Horizon Issues

SCP will be working through the various applicable recommendations emanating from the **NGTS initiatives**. POT2 issued a report outlining systemwide cataloging standards which SCP will follow. While the standards grant SCP a high degree of leeway to continue current practices that maximize output, in some cases there may be an effect on cataloging quality (in the positive direction) and productivity (in the negative direction). POT5 released a report with recommendations on how SCP cataloging priorities should be set and on how the program can improve communications with stakeholders. As noted above, SCP has started working on a POT6 recommended pilot whereby another campus, in this case UC Irvine, would catalog titles in specified packages with SCP distributing the records.

SCP staff were training in cataloging under the new cataloging code **RDA**, and began doing so in March 2013. We will need to monitor the work of the larger cataloging community and the impact of ingesting RDA records on systems and discovery to see if changes to any processes or procedures are warranted.

Various **OCLC developments** continue to be of interest and are being monitored by SCP. This includes significant development and integration of its Knowledgebase with WorldCat which is the backend database that feeds Melvyl. Also, the OCLC KB appears to be much more comprehensive than the SFX and Serials Solutions KBs with regard to the resources cataloged by SCP. Coupled with development of OCLC's WorldShare Management System into a functional ILS and in consideration of various shortcomings of our current ILS/ERMS work environment, with CDL Acquisitions Unit staff we are instigating an effort for a full CDL review of the current ILS/ERMS environment in which we operate.

Review of 2012/2013 Goals

1. *Monitor the NGTS process and implement recommendations affecting SCP.*

As noted above, SCP will be following up on the various recommendations/tasks identified by NGTS groups.

2. *Complete RDA cataloging training and begin using RDA for original cataloging and authority work.*

SCP staff completed training and is fully employing the new cataloging standard.

3. *With CDL Acquisitions and CDL staff, review the current ILS/ERMS environment and explore alternatives.*

CDL renewed its ERMS contract with Serials Solutions so, while there occurred some discussion about alternatives, extensive discussion is being postponed until more is known about the future systemwide direction for a backend system.

4. *Continue development of and/or improve upon batch processes for the batch processing of bibliographic records, including identifying and make use of vendor record sets or vendor data.*

Staff continued experimenting with different process and use of vendor data. Staff undertook effort to document batch processes across packages to streamline and update older batch processes.

5. *Explore possibilities for creating additional WorldCat Collection sets.*

No new set were identify.

6. *Review and update as appropriate, the SCP website and posted documentation.*

The E-Resources Tracking page was a focus of review and more information regarding the frequency of cataloging of new content added.

Goals for 2013-2014

1. Implement NGTS recommendations affecting SCP.
2. Monitor effect of transitioning to RDA so as to identify any need adjustments or revision of cataloging processes and procedures.
3. Depending on developments toward establishing a “backend” system, with CDL Acquisitions and CDL staff, review the current ILS/ERMS environment and explore alternatives.
4. Continue development of and/or improve upon batch processes for the batch processing of bibliographic records, including identifying and make use of vendor record sets or vendor data.
5. Explore possibilities for creating additional WorldCat Collection sets.
6. Review and update the SCP website and posted documentation.

Submitted on September 13, 2013 by Adolfo R. Tarango
SCP Web site: <http://www.cdlib.org/inside/projects/scp/>

Table 1: Unique Titles Cataloged as of:

	June 30, 2012	June 30, 2013	FY 2012/13 Net Increase
Monographs	524,237	580,414	56,177
Serials	34,068	36,761	2,693
Integrating Resources	630	648	18
Chinese Monographs	3,060	5,373	2,313
Chinese Serials	4,995	11,926	6,931
Chinese IRs	21	22	1
Total	567,011	635,144	68,133

Table 2: Access points (links) as of:

	June 30, 2012	June 30, 2013	FY 2012/13 Net Increase
Monographs	524,357	580,752	56,395
Serials	49,343	52,683	3,340
Integrating Resources	659	673	14
Chinese monographs	4,136	7,293	3,157
Chinese serials	8,925	16,183	7,258
Chinese IRs	21	22	1
Total	587,441	657,606	70,165

Table 3: Persistent Identifiers as of:

	June 30, 2012	June 30, 2013	FY 2012/13 Net Increase
PIDs	239,465	250,840	11,375
BibPURLs	18,743	21,241	2,498
Total	258,208	272,081	13,873

Table 4: Bibliographic Record Distributions for FY 2012/2013

Campus	Monos	Serials	Special Distributions ¹	Totals
UCB	38,405	8,736	133,897	181,038
UCD	30,310	8,414	133,897	172,621
UCI	38,019	8,571	133,897	180,487
UCLA	38,314	8,751	133,897	180,962
UCM	37,471	8,608	133,897	179,976
UCR	30,045	8,555	133,897	172,497
UCSB	36,878	8,693	133,897	179,468
UCSC	32,048	8,362	133,897	174,307
UCSD	37,116	8,721	133,897	179,734
UCSF	25,242	8,167	133,897	167,306
Totals	343,848	85,578	1,338,970	1,768,396

¹ There were three special distributions in FY2012/2013:

EEBO monographs - 124,676 records (Aug 2012)

Early American Imprints monographs - 2250 records (Jan 2012)

Dacheng serials - 6971 records (May 2013)